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FORM PTO-139  
(REV 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY FILEDOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

RCA 88759

U.S. APPLICATION NO. (If known, fill in)

**09/529184**

INTERNATIONAL APPLICATION NO.  
PCT/US98/21556

INTERNATIONAL FILING DATE  
13 October 1998 (13.10.98)

PRIORITY DATE CLAIMED  
14 October 1997 (14.10.97)

TITLE OF INVENTION SYSTEM FOR FORMATTING AND PROCESSING MULTIMEDIA PROGRAM  
DATA AND PROGRAM GUIDE INFORMATION

APPLICANT(S) FOR DO/EO/US Mark Jacob Ebling, Edwin Arturo Heredia,  
Sithampara Niranjan, Chia-Yuan Teng, Mehmet Kemal Ozkan, Timothy

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: William Saeger

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, with references attached
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included

13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.

14. ☐ A substitute specification.

15. ☐ A change of power of attorney and/or address letter.

16. ☒ Other items or information: Communication Pursuant to PCT Rule 4.15(b) and  
COPY of PCT/IB/306Notif. of Recording of a Change

EL 498034086US

**CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

"Express Mail" mailing no.

April 7, 2000


Date of Deposit

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

David A Fornarotto

Typed or printed name of person  
mailing application

David A Fornarotto  
Signature of person mailing  
application

|  |              |  |         |  |  |
|--|--------------|--|---------|--|--|
| APPLICATION NO. (PCT/US 1998/21556)  |              | INTERNATIONAL APPLICATION NO. PCT/US98/21556 |         | ATTORNEY'S DOCKET NUMBER   |  |
| <input checked="" type="checkbox"/> The following fees are submitted:  |              |  |         |  |  |
| <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b><br>Search Report has been prepared by the EPO or JPO.....\$840.00<br>International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$670.00<br>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$760.00<br>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$970.00<br>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$96.00 |              |  |         | <b>CALCULATIONS PTO USE ONLY</b>   |  |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>  |              |  |         | \$ 970.00  |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |  |         | \$   |  |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA                                 | RATE    |  |  |
| Total claims   | 48 -20 =     | 28   | X 18.00 | 504.00   |  |
| Independent claims   | 9 -3 =       | 6  | X 79.00 | 468.00   |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |  |         | +250.00  |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |  |         | \$ 1942.00   |  |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).  |              |  |         | \$   |  |
| <b>SUBTOTAL =</b>  |              |  |         | \$ 1942.00   |  |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |  |         | + \$   |  |
| <b>TOTAL NATIONAL FEE =</b>  |              |  |         | \$ 1942.00   |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |              |  |         | + \$ 40.00   |  |
| <b>TOTAL FEES ENCLOSED =</b>   |              |  |         | \$ 1982.00   |  |
|  |              |  |         | Amount to be:  |  |
|  |              |  |         | refunded \$  |  |
|  |              |  |         | charged \$ 1982.00   |  |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.   |              |  |         |  |  |
| b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>07-0832</u> in the amount of \$ <u>1982.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.   |              |  |         |  |  |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>07-0832</u> . A duplicate copy of this sheet is enclosed.  |              |  |         |  |  |
| <b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.   |              |  |         |  |  |
| SEND ALL CORRESPONDENCE TO:<br>Mr. Joseph S. Tripoli<br>THOMSON MULTIMEDIA LICENSING INC.<br>PO Box 5312<br>Two Independence Way<br>Princeton, New Jersey 08543  |              |  |         | SIGNATURE<br><br>NAME<br><u>Alexander J. Burke</u><br>REGISTRATION NUMBER<br><u>40,425</u> |  |